# CIANC<sup>3</sup>:AnAgent-BasedIntelligentInterfaceforFuture CombatSystemsCommandandControl

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**ABSTRACT:** The vision of the future for armored and mechanized military structure, as spelled out by (DARPA, 2001), includes the use of mixed teams of h uman and robotic forces on a dynamic and rapidly changingbattlefield.Successfulimplementation of this shift will require autonomous and semi-autonom ous robotic forces and a command and control in frastruc turethatwillallowhuman, robotic, and mixed team S tobecontrolledquicklyandeasily. This infrastru cturewillneedtoallowhumancommanderstocontro lthe robotteams in a similar manner to how they command humanteams, that is, in the language of the military, not the language of robotic control theory. I nthispaper, we present an intelligent command and control interface built on framework of cooperative in terface agents that reflect roles found in current commandstaffs.

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#### 1. Introduction

The vision of the Army's Future Combat Systems (FCS) includes the use of mixed teams of human androbotic forces on adynamic and rapidly changing battlefield. Implementing the vision will require a shift from manual, human control of weapons systems to semi-and fully autonomous control over mixed systems of humans and non-human entities. It will also entail an overall force red tion that will require multiple entities to be controlled by individual team leaders and multiple teams to be lead by higher-echelon commanders.

To accomplish this, systems will have to be designed to require less human interaction and greate

robotic autonomy. Successful implementation of this shift will require autonomous and semi-autonomous robotic forces and a command and control infrastructure that will allow human, robotic, and mixed teams to be controlled quickly and easily. One key to this will be the degree to whichteamsandindividual robots are autonomous. A second is whether the commander's human-machine interface is designed such that the commander is not overloaded with constant system interactional lowing himorher to focus on them is sion.

The focus of this project (CIANC <sup>3</sup>: Cooperative Interface Agents for Networked Command, Control and Communications) has been to identify the human-interface issues, design potential solutions

and create intelligent agent software that support the commander's tasks and mitigates human performance limitations in the context of robotic command and control. To accomplish this task we have implemented an agent architecture based on decomposing the command and control problem into three main task areas: Monitoring, CoordinatingandTasking.Byusingagentsthatspecializein each of these three areas as an interface to the un derlying robotic behaviors (represented by Task Frame entities), we have been able to rapidly developanintelligentinterfacefortheroboticenti ties thatmakeupFCS.

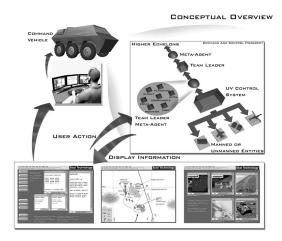


Figure1:Conceptualoverview

## 1.1 RoboticBattlefieldEntities

AnoverallgoaloftheFCSprogramistotransform the current military structure, operations, strateg ies andtacticstocreateaforcethatismoreresponsi ve. deployable, agile, versatile, lethal, survivable, a nd sustainable. One implementation strategy to achieve this goal is to split the roles of battlefi eld entities to create smaller, more specialized platforms that will operate cooperatively in a much more effective manner than currently possible. This will include at least the following battlefiel platforms: manned vehicles, direct fire vehicles, indirectfire, beyond-line of sight (BLOS) vehicles sensor vehicles, unmanned aerial vehicles, and other layered sensors such as satellites (c.f. Van Fosson, 2000; and Defense Advanced Research Projects Agency, 2000). Other research is addressing low-level issues regarding autonomous robot control, such as cooperative path planning, team selection and tactics, and dealing with uncertainty e.g. the MICA project (Defense Advanced ResearchProjectsAgencyBAA#01-029).

Thepresentworkwilldevelopsoftwaretechniques and technologies that will allow human commanders to control the robot teams in a similar manner to how they command human teams, that is, in the language of the military, not the language of robotic control theory. In addition, it will address command and control for higher echelons and for cooperativeactionsacrossechelons.

# 1.2 Human-MachineInteractionandSupervisorvControl

The overall goal of the human-machine interface design for this project is to maximize human performance by creating a system that allows users to perform military tasks without focusing on the computer system used. This requires a system that isefficienttouse, easy to learn, easy to remembe anderror-tolerant

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One technique for maximizing usability is to automate mundane and time-consuming tasks with software. Previous efforts at automating system tasks have achieved mixed results often because supervisory control issues (Leveson, 1995; Sheridan, 2000) were not adequately addressed. Effectively automating system functions requires a delicate balance of reducing tedious tasks and overall operator workload, and maintaining adequate human control (both real and perceived) and vigilance. For example, users will become complacent inmonitoring-onlytasks, such as monitoring status gauges or security cameras, and become more pronetoerrors. They need to be keptengaged and they need to maintain their skills for times when automated systems are inadequate. Task-analytic techniques can be used to address the supervisory control problem, enabling designs that will include the right mix of human and automated control. One way of implementing supervisory control softwareisthroughsoftwareinterfaceagents.

#### 1.3 InterfaceAgents

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Interface agents (Laurel, 1991) are a specific form of software designed to reduce the complexity of human-system interaction. Such agents can take the form of relatively simple agents for performing single, well-defined tasks such as filtering mail, they can be fairly complex for more complicated tasks such as seeking out useful information or websites(Lieberman, 1997). Fundamentally, interface agents represent an additional, simplifying layerofabstractionbetweenauserandacomputer system.

Agentsprovidetheinterface with the capacity for mixed-initiative dialogallowing for the more natural give and take characteristic of typical human conversation. Keyelements of this dialog (Horvitz 1999) include the interface agent's ability to

- Consider uncertainty about the commander's goals.
- Consider the status of the commander's attentioninthetimingofservices.
- Inferidealactioninlightofcosts, benefits and uncertainties.
- Employdialogtoresolveuncertainties.
- Allow direct invocation and termination ofinterfaceservices.

This dialog between commander and system will provide aflexible level of control that can adapt to the dynamic environment of battlefield command, offering the commander as little or as much direct involvement as is required by situation, doctrine, or commander preference.

### 1.4 Multi-AgentDesign

It is important that this interface technology be developed modularly, creating cohesive, loosely coupled entities that can be easily modified, adapted, and reconfigured as doctrine, technology, and missions evolve. Dividing agent workload between a set of specialized modular agent types provides a number of keybene fits.

#### 14.1 Encapsulation of knowledge

Localizing doctrinal knowledge in specialized agents provides a natural mechanism for matching interface-processing rules with military doctrine. CIANC³ agents will be part of the DOD's C ³ structure, and as such will need to adapt to changes in doctrine over time and by service and operation. As requirements change, agents encapsulating the new rules can be introduced into the system without impacting on other aspects of the system.

#### 14.2 Encapsulation of Processing

Localizingtaskexecutioninspecializedagentsals provides a natural mechanism for encapsulating processing. Astheduties of the individual CIANC agents increase in scope and sophistication, specialized techniques will be adopted or developed to increase task performance, robustness, or scalability. While our current research utilizes the Soar architecture for agent decision making, it is quite

reasonable to assume that future CIANC <sup>3</sup> agents may require the addition of dedicated planners, case-basedreasoning systems, or other AI technology.

#### 14.3 Communicationorienteddesign

It is also important to note that the division of knowledgeandprocessingintodistinctagenttypes creates a demand for a more sophisticated communication infrastructure than might be required by a monolithic system. This increased sophistication, despitetheadditionaldevelopmentrequirements to construct it, is another one of the key benefits of the system. Since the purpose of the CIANC tem is assisting the commander in the command, controland communication of external robotic entities a rich capacity for communication is a basic requirement. Establishing this capacity as a fundamental characteristic of the architecture, availabletoindividualprocessingunitssuchasinforma tion display agents, allows the seamless introduction of new processing units at any time or at any locationintheCIANC <sup>3</sup>architecture.

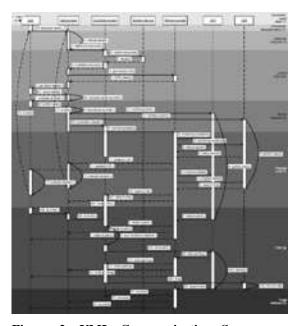


Figure 2: UML Communication Sequence Diagram

### 14.4 Reconfigurabledesign

It should also be assumed that the target agent organization described here would change to include other classes of interface agents. The agent architecture, therefore, mustaccommodate such change. For example, a display agent could be used to control all information presented to the user. An executive agent may be useful for coordinating the

control and communication within a collection of agents (e.g. within the meta-agent). Other agent rolesthatmightbeseparatelydevelopedinclude:

- Deriving the commander's current task from recentactions.
- Derivingenemyintentbasedonrecent enemyactions.
- Evaluatingandcritiquingplans.
- Routineschedulingofcommunications, supply,anddutyrotations.

Additionally, the missions, roles, responsibilities and information requirements will be different for eachecheloninwhichthistechnologyisemployed. Doctrine will also change with coming technological advances. It is important that the resultings ystem be flexible and modular enough to rapidly adapt to new procedures and protocols. For example, the agent system should be constructed to allow different sets of expert knowledge to be easily constructed and integrated into the agents. Tools for doing this arecurrently underdevelopment.

# 2. CIANC<sup>3</sup>Agents

Wehavecreated a framework of cooperative interface agents based on the roles found in current command staffs. Command staffs commonly provide five basic functions to commanders in support of reconnaissance, security, offensive, and defensive operations (c.f. Army FM17-95):

- Providetimelyandaccurateinformation.
- Anticipaterequirementsandprepareestimates
- Determinecoursesofactionandmake recommendations.
- Prepareplansandorders.
- Superviseexecutionofdecisions.

In CIANC <sup>3</sup> these functions are divided between three classes of agents: tasking, monitoring, and coordinating. This division aligns the agents with the three C <sup>3</sup> concepts of command, control, and communication respectively. The goal is to provide a virtual command staff for echelons that do notcurrenthavethat support. The secommand and control agents can then supervise a large set of more specialized agents, such as Intelligence, Security, Logistics tailored to the particular echelo n. In addition, we see the need for platform oriented Display and Data channel agents to support data fusion and taskspecific visualization.

Figure 1 illustrates a notional organization for ho the interface agents will work in the larger scheme of battlefield command and control. Although other configurations are possible, the basic roles and responsibilities required of the interface agen will remain. In addition, it is assumed that interface agents will have access to, and be integrated tightly with, other battlefield information and decsion-support systems. Irrespective of the type of digitized services that will become available to battlefield commanders, the need for rapidtasking, coordinating, and monitoring of operations will remain. These agent classes are discussed below with examples of how they might be used.

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## 2.1 TaskingAgents

Tasking agents will be used to assist commanders and controllers to rapidly issue battlefield commands. They are to reason about the commander's intent.standardoperatingprocedures.unitcapabil ties, operating environment and enemy disposition topresentthecommander with a reasonable operation plan. Where ambiguity exists, tasking agents should engage the commander in dialog to clarify intentions or will present several options. After customizing the resulting plan as necessary, the commander can then issue the order. The tasking agent will then translate the order into the proper command sequences for next command layer. These sequences range from dialog completion information to atomic-level robotic commands, or relatively high-level commands that will be further processedbyacooperativeplanningsystem.

For example, a commander may wish to task a deployed company to attack a target. To do this he could select the company or individual platoon elements with a light pen (or other suitable input device) and drag them to the designated target area using the desired path and direction of attack. Th tasking agent would then query the commander as to the mission type who in turn would select Attack. The agent would then reason about the current posture of the company, assets of the platoon elements, terrain, weather, and enemy, and propose a mission profile. An order would then be prepared specifying the commander's intent; movement orders indicating lead and screen elements, and other information normally included in an operation plan. After reviewing and verifying the plan, the commander would confirm the order; the tasking agent would translate the order (for roboti forces) and send out the plan. After confirming receiptoftheorder, the system would then monitor

the plan's progress and update the commander as necessary.

It is not enough that the system simply automate the commander's tasks. Users of the system must beawareofandfeelincontrolofthesituationat all times. Otherwise, they will either lose trust in t he system, reverting to manual control, or place too much faith in it, becoming complacent and jeopardizing lives. After orders have been issued, the plans should be visible to the commander so that they can be inspected, monitored, critiqued, and modified. This mix of interface agent assistance and direct manipulation is essential to achieving the right mix of automated and manual control. Examples of other roles tasking agents might play include:

- TaskingUAVsfortargeting.
- Automaticweaponselectionforknown targettypes.
- Automaticallymodifyingdefensivepostureintheeventofanambush.
- Modifyingweaponsusage(rateoffire, ammoselection).
- Modifyingalertrulesforwhenan autonomousagentshouldseekguidance.
- Facilitateanydirectmanipulationofby providingcontext-sensitiveassistance suchasassigningtargetingpriorities.

#### 2.2 CoordinatingAgents

Coordinating agents are responsible for facilitatin communicationandcoordinationacrossandwithin echelons within the command hierarchy. While command hierarchies will certainly continue, operational hierarchies are likely to become more network-centric, blurring the distinction between separate commands. Units in one command may cooperate with a second command element one minute and a third the next. Such dynamic operational shifts will only be possible by automating much of the communication and coordination that must occur in such situations. Tasks such as determining radio frequencies, call signs, unit desig nations, chain-of-command, IFF and communications security are all time-consuming but necessary issues with which coordinating agents will be able toassist.

For example, coordinating agents can increase force lethality in cooperative engagements by minimizing duplication of effort, maximizing target coverage, synchronizing time of attack, or massing fire on a single target. They can also be responsible for maintaining a common operational picture (and thus, situational awareness) by updatinghigher and lowerechelons on the current situation, plans, enemy intentions, and battle damage assessment. As with tasking agents, it is importan that agent actions, processes, and results be visib to the user. The commander must be able to verify that his intentions are being accurately implemented, and he must be able to intercede when necessary.

Another example where coordination is critical is rapidly responding to fast-moving or stealthy targets. Coordinating air defenses and sensor systems faster than humanly possible is often necessary for effectively countering such attacks. In such situations, the coordination agent might work directly with monitoring and tasking agents to rapidly eliminate the threat. Other roles that might be played by coordination agent sinclude:

- Settingupdirectsensortoshootercommunicationsacrosscommands.
- Settingupothercross-commandtasking suchasindirectfiresupport.
- Facilitatingteleconferencing.
- Reestablishingcommunicationsandintegratingorphanedunits.
- Communicatingroutes, plans, intentions, progress and other explicit and tacitinformation.
- Sharingincompletesensorinformation (suchasvectorstofiresource)tohigher echelons.
- Facilitating direct control of vehicles (e.g., tele-operation) incritical situations.

#### 2.3 MonitoringAgents

Monitoring agents are responsible for assisting the commander in maintaining an accurate awareness of the current situation (situational awareness) at all times. The amount of information available to battlefieldcommanders will continue to increase to the point of informational overload. The main role of monitoring agents will be to prevent information overload by fusing, filtering, and prioritizing raw data, and transforming that data into information that the commander can use in the context of the current situation. For example, different units ma report directional vectors for the source of sniper fire. The monitoring agent could use this vector data to triangulate the sniper's position and rec-

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ommend through the tasking agent that indirect suppressingfirebecalledonthatlocation. Anoth er possible data fusion role could be more proactive. Monitoring agents could use templates such as intelligence formats (e.g., SALUTE reports, which specify the Size, Activity, Location, Unit, Time, and Equipment of an observed enemy) to task sensors or prompthumans for missing fields.

Monitoring agents should also filter information, especiallywhenthecommanderisengagedincriticaltasks,tominimizedistractions.Forexample, the commander is busy responding to an ambush with one unit, he probably doesn't care at the time that another unit's status is "Okay" and has not changed. Such routine status reports should be stored for future reference, but kept in the background so as to not interfere with more important tasks. Likewise, such information can be prioritized by criticality or by relevance to current com mander tasks. For instance, message traffic and information flow may increase dramatically during a firefight. Where loss of life or equipment is im minent, relevant information that might prevent or mitigate the situation could me made more salient for the commander (e.g., by color or ordering in a message list). Other monitoring agent tasks might include:

- Automaticallyupdatingandsynchronizing COP(commonoperationalpicture)databases.
- Presentingappropriatedatavisually, such asunitlocation, direction, supplylevels, and damage status.
- Providingallmessagesrelatingtoasingle friendlyorenemyunittohelpbuilda broaderpicturefromsingleevents.
- Representvisually direct communication lines between shooters and sensors.
- Monitoringhealthandstresslevelsof humansubordinates.

# 3. SystemEnvironment

The current CIANC <sup>3</sup> system integrates Soar-based interface agents into a combined simulation and operational environment for robotic control. The agents communicate using the FIPA protocol and a user interface to the agents was created using Tcl.

# 3.1 IntegrationEnvironment:OperatorControlUnit/OneSAFTestBed

Bialczak, Nida, et al. (SESI, 2000) have designed and implemented a dynamic composable Operator Control Unit (OCU) for the Mounted Maneuver Battle Lab (MMBL) (now Unit of Action Maneuver Battle Lab, UAMBL) at Fort Knox Kentucky. Requirements for the OCU included that control of theunmannedvehicleshadtobedynamic(i.e.,had to transition from one OCU to another without interrupting the exercise), and that the OCU had to becomposable-it had to control a heterogeneous set of robots. Most of all the OCU had to be easy to use. Because of its inherent flexibility, they were also able to task several real robotic vehicle from the OCU. The OCU mixes the simulation functionality of OTB and the control capability ofrobotic control system. This combination makes theOCUuniquelyqualifiedasaplatformonwhich tofurtherdeveloptheCIANC <sup>3</sup>system.

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# 3.2 AgentEnvironment:TheSoarCognitive Architecture

The Soar cognitive architecture is a powerful framework for creating multi-agent systems. Soar has been successfully used to model complex battlefieldengagementsinfieldsimulations.Soarwa used to create synthetic agents for FWA, RWA, andrelated controllers. For example, we have created Soar models of fighters and strikers that inteact with Soar forward air controllers during closeair support simulations. Similarly, for defensivecounter air (DCA) missions, Soar-based fighters coordinate with a Soar-based Airborne Early Warning(AEW)agent(currentlyinasimulatedE-2C) that provides broadcast and close control support to fighters. In all cases, human operators ca also provide command and control to Soar agents. This intervention is allowed but not required. Recentworkhasdevelopedamodelofgroundforces (Taylor, Koss, & Nielsen, 2001).

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# 3.3 AgentCommunications:FIPA

Robotic forces must be able to communicate with each other in order to conduct joint operations. A n agent communication language (ACL) provides a common way for agents to communicate. An effective ACL must enable interface agents to communicate between multiple echelon hierarchies of both robotic and human forces. The Foundation for Intelligent Physical Agents (FIPA) (Huhns, 1997) has defined an agent communication lan-

guage that will enable robotic forces to perform these types of communication. The FIPA standard also offers several additional benefits. The FIPA ACLprovidesaformalsemanticsthatallowsinterface agents to deal with actions explicitly. This willenablerobotic forcesto make decisions, maintain situation awareness, and share information more efficiently. By using a FIPA-based ACL, robotic forces will be able to execute commands rapidly, and describe their actions precisely. Robotic forces will be able to share awareness information about their current situation, status, plans and experiences. This will allow groups of robotic forces to coordinate activity. The FIPA ACL also provides explicit support for secure communication, making it more difficult for enemy forces to compromiserobotic force communications.

#### 4. Conclusions

Theagentandcommunicationsystemdesignswere successfully implemented in a simulation environment. A scenario was created to test the system using a simple combination of a sensor-vehicle (UAV) and a shooter-vehicle (UGV). The UGV took the tasking to seek and destroy a suspected enemy. The UGV tasked a UAV to locate and acquirethetarget. The UAV located the target and transmitted the coordinates to the UGV, which then confirmed with the human operator before firing on, and destroying the target.

The scenario was simple enough to test and demonstrate the capabilities of the interface-agent ar chitecture, but it was not complex enough to demonstrate any real utility to robotic controllers. addition, the Tasking agent accomplished most of the background work. A more complex scenario would place more demands on other agents, driving their further elaboration.

The UML sequence diagram (see figure 2) demonstrates how complex the agent communication protocols need to be in order to perform even the simple scenario. Implementing a more complex scenario will determine whether the sequence diagram is enough to simplify that portion of the development or whether additional tools will be required.

The performance of the Soar cognitive architecture was more than adequate for the agent task in the simplescenario. However, implementing the agent behaviors was somewhat complex, even with Soar. More research effort needs to be spent (outside of this project) developing tools and techniques for

rapid agent development. Research conducted in the next phase of this project should help to bette define the requirements for such tools. Related to this is the large amount of declarative knowledge that needs to be encoded into the Soar agent procedures. Representing such knowledge within production rules will inhibit scaling because changes to that knowledge will necessitate time-consuming and expensive work. We should explore a nontological approach to representing such knowledge to disentangle the behavioral knowledge from the declarative knowledge.

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Although FIPA provided a great foundation for developing the agent communication in frastructure, it is not clear that it will meet the needs of mili tary systems. For Phase II we will consider using DARPA's CoABS grid for the agent communication architecture.

Insummary, Phase I successfully demonstrated the technical feasibility of interface agents for robot command and control. It also provided the necessary infrastructure and techniques necessary to rapidly exploremuch more of the problem space.

#### 5. FutureWork

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InPhaseIIoftheCIANC <sup>3</sup>projectwewillcontinue the agent architecture and communications research begun in Phase I, focusing on the development of flexible interaction models that support rich analysis processes and human interactions with the goal of developing a novel, tightly integrated semantic approach to communicative acts and conversation protocols. In addition we will developanimprovedGUIinterfacetobetterfacilitatecommunicationandinteractionbetweenhuman andsoftwareagents.

To determine the efficacy of our approach, it is imperative that the system be tested. These tests willtakethe formofsmall-scale individual usabil itytestsatUAMBLorARI, actual use of the prototype at UAMBL, or a full-scale comparison of company-level training pitting a CIANC <sup>3</sup> enhanced FCS-company against a conventional company. In any case, this phase of the project will be dedicat ed to the testing design & performance testing of the overall system.

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